



4K3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: S. Bhattacharya, et al.
Serial No.: 09/971,755
Filed: October 5, 2001
Confirmation No. 4239

Docket No. JP920010146US1
Group Art Unit: 2171
Dated: December 13, 2001

For: A METHOD OF MAINTAINING DATA CONSISTENCY IN A LOOSE
TRANSACTION MODEL

Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Sir:

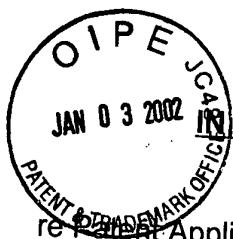
Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/971,755 as originally filed on October 5, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 50-0629 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By Stephen C. Kaufman
Stephen C. Kaufman (Reg. 29,551)
Attorney for Applicants
914-945-3197

IBM Corporation
Intellectual Property Law
P.O. Box 218
Yorktown Heights, N.Y. 10598
Fax: 914-945-3281



THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Section
S
E
X*

re Patent Application of: S. Bhattacharya, et al. Confirmation No. 4239

Serial No.: 09/971,755

Examiner:

Filed: October 5, 2001

Group Art: 2171

For: A METHOD OF MAINTAINING DATA CONSISTENCY IN A LOOSE TRANSACTION MODEL

Commissioner for Patents
Washington, D. C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts of Nonprovisional Application
3. Response to Notice to File Missing Parts
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form
6. Information Disclosure Statement
7. PTO-1449 with References

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231

on December 13, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920010146US1



UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 03 2002

C48

APPLICATION NUMBER

09/971,755

FILING/RECEIPT DATE

10/05/2001

FIRST NAMED APPLICANT

Suparna Bhattacharya

ATTORNEY DOCKET NUMBER

JP920010146US1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 4239

FORMALITIES LETTER



OC000000007046998

Date Mailed: 11/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BNGUYEN1 00000010 500629 09971755

01 FC:105

130.00 CH